



Department
of Health

Office of
Mental Health

Office of Alcoholism and
Substance Abuse Services

Office of Children
and Family Services

Office for People With
Developmental Disabilities

Children's Medicaid System Transformation: SPA Rate Review

May 14, 2018

Services Overview

Underlying the Medicaid System Transformation is a revision to the services provided to children¹

State Plan Amendment (SPA)

In addition to historically provided services, six services are being added or moved to the SPA under the 1115 waiver:

- Other Licensed Practitioners (OLP)
- Crisis Intervention (CI)
- Community Psychiatric Support and Treatment (CPST)
- Psychosocial Rehabilitation Services (PRS)
- Family Peer Support Services (FPS)
- Youth Peer Support and Training (YPS)

SPA will be the focus of the remainder of this presentation

Care Management (CM) / Health Home (HH)

CM services that have been provided under the historical 1915(c) waivers will transition to Health Homes under the 1115 waiver

CM/HH

Home and Community Based Services (HCBS)

A single HCBS service array has been adopted under the 1115 waiver to be utilized uniformly across all covered children's populations

HCBS

¹ For details on the service crosswalk between historical 1915(c) waivers and 1115 waiver, see the Draft Final Transition Plan available at: https://www.health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/docs/2017_11_14_child_transition_plan_final_draft.pdf

SPA Rate Code Consolidation

Overview – SPA Services

Effective implementation dates assume State receives timely CMS and other required approvals

Implementation

- January 1, 2019: Implementation of Other Licensed Practitioner (OLP), Community Psychiatric Supports and Treatment (CPST), and Psychosocial Rehabilitation (PSR)
- July 1, 2019: Implementation of Family Peer Support Services (FPS)
- January 1, 2020: Implementation of Crisis Intervention (CI) and Youth Peer Support and Training (YPS)
- Revision of 6 SPA service rates will take place concurrently so there is consistency across the 6 Services and sufficient time for the providers to prepare for their implementation

SPA Rate Code Consolidation

Group Limits

- The consolidation eliminated rate variation for different group sizes in favor of a single group rate for each service
- Revision of group size better aligns with information in the SPA Manual and eliminates previous occurrence of conflicting information
- Group rates are billed on an individual basis
 - For example: an OLP facilitating a group of 3 would bill the OLP Group rate 3 times

Off-Site

- Consolidated 27 into 5 off-site rate codes
- Off-site codes are billed in addition to the base service rate codes for the following services:
 - OLP Evaluation
 - OLP Counseling
 - CPST
 - PSR
 - FPS/YPST
- Outstanding question regarding application of off-site codes for services provided in group settings

SPA Rate Review

Rate Revision Considerations

With the consolidation of the SPA rate codes, some revisions to the DRAFT SPA rates were required

1**Baseline**

- Simplify the rate code structure and reimbursement process
- Maintain consistency with previously developed rates, where able

2**Program & Provider Feedback**

Throughout the rate code consolidation and initial rate development process, feedback was received and considered to adjust and further refine assumptions from stakeholders

3**Qualification & Rate Setting Assumption Alignment**

Where needed, adjustments were applied to align the qualification selections with rate development assumptions (i.e. education/experience vs. assumed wage level)

4**Additional Adjustments**

Adjustments for factors such as: productivity, upstate vs. downstate differentials, group size efficiency, utilization/acuity differences, etc. were reviewed and adjusted, as needed

5**Final Considerations**

Based on final feedback from Program and Providers as well as internal review, final revisions to the DRAFT SPA rates will be completed so they can be submitted to CMS for review and approval.

NOTE: Rates discussed within this presentation are DRAFT pending CMS approval

DRAFT SPA Rates (1 of 2)

Original SPA rates and revised rates following rate code consolidation

Service	Service Detail	Rate Unit*	Original Rate	Revised Rate
Other Licensed Practitioner	Licensed Evaluation	Per Evaluation	\$124.74	\$124.74
	Counseling	Per Hour	\$151.20	\$151.20
	Crisis	Per Hour	\$277.20	\$277.20
	Crisis Triage (telephone)	Per Hour	\$166.32	\$166.32
	Crisis Complex Care	Per Hour	\$166.32	\$166.32
	OLP Group	Per Hour	\$68.73	\$73.08
Crisis Intervention	Licensed Practitioner	Per Hour	\$237.60	\$237.60
	Licensed Practitioner & Peer Support	Per Hour	\$318.45	\$318.45
	2 Licensed Practitioners	Per Hour	\$475.20	\$475.20
	90-180 Min. 2 Clinicians – 1 Licensed	Per Diem	\$1,069.20	\$716.51
	3+ Hrs., 2 Clinicians – 1 Licensed	Per Diem	\$1,425.60	\$955.35
Community Psychiatric Support and Treatment	CPST Service Professional	Per Hour	\$63.97	\$95.95
	CPST Service Professional Group	Per Hour	\$39.66	\$46.38

*Hourly rates are billable in 15 minute increments with the exception of Crisis Complex Care, which is billable in 5 minute increments



DRAFT SPA Rates (2 of 2)

Original SPA rates and revised rates following rate code consolidation

Service	Service Detail	Rate Unit*	Original Rate	Revised Rate
Psychosocial Rehabilitation Supports	PSR Service Professional	Per Hour	\$55.97	\$63.97
	PSR Service Professional Group	Per Hour	\$39.66	\$30.92
Family Peer Support Services	FPS Service Professional	Per Hour	\$63.97	\$55.97
	FPS Service Professional Group	Per Hour	\$39.66	\$27.05
Youth Peer Support and Training	YPS Service Professional	Per Hour	\$63.97	\$55.97
	YPS Service Professional Group	Per Hour	\$39.66	\$27.05
Offsite**	Offsite OLP Evaluation	Per Evaluation	N/A	\$31.19
	Offsite OLP Counseling	Per Hour	N/A	\$37.80
	Offsite – CPST	Per Hour	N/A	\$16.93
	Offsite – PSR	Per Hour	N/A	\$11.29
	Offsite – FPS/YPS	Per Hour	N/A	\$9.88

*Hourly rates are billable in 15 minute increments with the exception of Crisis Complex Care, which is billable in 5 minute increments

**Offsite rate codes will need to be billed in addition to the primary SPA service rate codes when applicable



Next Steps

Final considerations prior to submission of DRAFT SPA rates to CMS for review and approval

- Review and revise based on final Program and Provider considerations, as needed
- Determine the impact of evidence-based practices (EBP) on the SPA rates
 - Potential incorporation of new independent EBP rates for OLP and Crisis Intervention
- Provide clarification on expectations regarding references to limits. Under the EPSTD rules, CMS will not allow limits
- Adjust the guidance/descriptions to refer to desired level of education/credentials as opposed to minimum level expected
- Complete final internal review of the DRAFT SPA rates
- Send DRAFT SPA rates to CMS for review and approval
 - Depending on CMS feedback, adjustments to the rates may be required

Appendix: SPA Matrix & Rate Code Consolidation

SPA Matrix (1 of 2)

Rate Code	SPA Service Group	Long Name	Adjusted Rate Grouping**	Group Size	Unit Limit*	Billable Unit Type	Staffing Qualifications			
							Licensed (MS, PhD, MD)	Masters	Bachelors	Credentialed
7900	OLP	OLP Other Licensed	OLP Licensed Evaluation	N/A	1	per evaluation	X			
7901	OLP	OLP Other Licensed Offsite		N/A	1	per evaluation				
7902	OLP	OLP Individual Counseling	OLP Counseling	N/A	4	per 15 minutes	X			
7903	OLP	OLP Individual Counseling Offsite		N/A	4	per 15 minutes				
7904	OLP	OLP Family Counseling		N/A	4	per 15 minutes				
7905	OLP	OLP Family Counseling Offsite		N/A	4	per 15 minutes				
7906	OLP	OLP Crisis Offsite	OLP Crisis	N/A	8	per 15 minutes	X			
7907	OLP	OLP Crisis Triage (by telephone)	OLP Crisis Triage (telephone)	N/A	2	per 15 minutes	X			
7908	OLP	OLP Crisis complex care followup to crisis	OLP Crisis Complex Care	N/A	4	per 5 minutes	X			
7909	OLP	OLP Group size: 2	OLP Group	2 or more	4	per 15 minutes	X			
7910	OLP	OLP Group size: 3		3	4	per 15 minutes				
7911	OLP	OLP Group size: 4		4	4	per 15 minutes				
7912	OLP	OLP One offsite client regardless of group size		N/A	4	per 15 minutes				
7913	CI	CI 1 licensed practitioner	CI 1 Licensed Practitioner	N/A	6	per 15 minutes	X			
7914	CI	CI 1 licensed practitioner & 1 peer support	CI 1 licensed practitioner & 1 Peer Support	N/A	6	per 15 minutes	X			X
7915	CI	CI 2 licensed practitioners	CI 2 Licensed Practitioners	N/A	6	per 15 minutes	X			
7916	CI	CI 90-180 min & 2 clinicians- 1 licensed	CI 90-180 min & 2 Clinicians- 1 Licensed	N/A	1	per diem	X			X
7917	CI	CI Per Diem 3 hrs, 2 clinicians 1 licensed	CI Per Diem 3 hrs, 2 Clinicians 1 Lic	N/A	1	per diem	X			X
7918	CPST	CPST Licensed Practitioner		N/A	6	per 15 minutes				
7919	CPST	CPST Masters Level	CPST Service Professional	N/A	6	per 15 minutes		X	X	
7920	CPST	CPST Bachelors Level		N/A	6	per 15 minutes				
7921	CPST	CPST Licensed 3 or less units offsite		N/A	3	per 15 minutes				
7922	CPST	CPST Licensed 4-6 units offsite		N/A	6	per 15 minutes				
7923	CPST	CPST Masters 3 or less units offsite		N/A	3	per 15 minutes				
7924	CPST	CPST Masters 4-6 units offsite		N/A	6	per 15 minutes				
7925	CPST	CPST Bachelors 3 or less units offsite		N/A	3	per 15 minutes				
7926	CPST	CPST Bachelors 4-6 units offsite		N/A	6	per 15 minutes				
7927	CPST	CPST Group size: 2	CPST Service Professional Group	2 or more	4	per 15 minutes		X	X	
7928	CPST	CPST Group size: 3		3	4	per 15 minutes				
7929	CPST	CPST Group size: 4		4	4	per 15 minutes				
7930	CPST	CPST One offsite client regardless of group size		N/A	4	per 15 minutes				



SPA Matrix (2 of 2)

Rate Code	SPA Service Group	Long Name	Adjusted Rate Grouping**	Group Size	Unit Limit*	Billable Unit Type	Staffing Qualifications			
							Licensed (MS, PhD, MD)	Masters	Bachelors	Credentialed
7931	PSR	PSR Bachelors Level	PSR Service Professional	N/A	8	per 15 minutes			X	X
7932	PSR	PSR All other professionals		N/A	8	per 15 minutes				
7933	PSR	PSR Bachelors Level 3 or less units offsite		N/A	3	per 15 minutes				
7934	PSR	PSR Bachelors Level 4-6 units offsite		N/A	8	per 15 minutes				
7935	PSR	PSR All other personnel 3 or less units offsite		N/A	3	per 15 minutes				
7936	PSR	PSR All other service personnel 4-6 units offsite		N/A	8	per 15 minutes				
7937	PSR	PSR Group size: 2	PSR Service Professional Group	2 or more	4	per 15 minutes			X	X
7938	PSR	PSR Group size: 3		3	4	per 15 minutes				
7939	PSR	PSR Group size: 4		4	4	per 15 minutes				
7940	PSR	PSR One offsite client regardless of group size		N/A	4	per 15 minutes				
7941	FPS	FPS Bachelors Level		N/A	8	per 15 minutes				
7942	FPS	FPS All other service personnel	FPS Service Professional	N/A	8	per 15 minutes				X
7943	FPS	FPS Bachelors 3 or less units offsite		N/A	3	per 15 minutes				
7944	FPS	FPS Bachelors 4-8 units offsite		N/A	8	per 15 minutes				
7945	FPS	FPS All other personnel 3 or less units offsite		N/A	3	per 15 minutes				
7946	FPS	FPS All other personnel 4-8 units offsite		N/A	8	per 15 minutes				
7947	FPS	FPS Group size: 2	FPS Service Professional Group	2 or more	6	per 15 minutes				X
7948	FPS	FPS Group size: 3		3	6	per 15 minutes				
7949	FPS	FPS Group size: 4		4	6	per 15 minutes				
7950	FPS	FPS One offsite client regardless of group size		N/A	6	per 15 minutes				
7951	YPS	YPS Bachelors Level		N/A	8	per 15 minutes				
7952	YPS	YPS All other professions	YPS Service Professional	N/A	8	per 15 minutes				X
7953	YPS	YPS Bachelors 3 or less units offsite		N/A	3	per 15 minutes				
7954	YPS	YPS Bachelors 4-8 units offsite		N/A	8	per 15 minutes				
7955	YPS	YPS All other professions 3 or less units offsite		N/A	3	per 15 minutes				
7956	YPS	YPS All other professions 4-8 units offsite		N/A	8	per 15 minutes				
7957	YPS	YPS Group size: 2	YPS Service Professional Group	2 or more	6	per 15 minutes				X
7958	YPS	YPS Group size: 3		3	6	per 15 minutes				
7959	YPS	YPS Group size: 4		4	6	per 15 minutes				
7960	YPS	YPS One offsite client regardless of group size		N/A	6	per 15 minutes				
None	Offsite	None	Offsite - OLP Evaluation	N/A		per evaluation	X			
None	Offsite	None	Offsite - OLP Counseling	N/A		per 15 minutes	X			
None	Offsite	None	Offsite - CPST	N/A		per 15 minutes		X		
None	Offsite	None	Offsite - PSR	N/A		per 15 minutes			X	
None	Offsite	None	Offsite - FPS/YPS	N/A		per 15 minutes				X



SPA Rate Code Consolidation (1 of 5)

Original	
Service	Service Detail
Other Licensed Professional (OLP)	OLP Other Licensed
	OLP Other Licensed Offsite only
	OLP Individual Counseling
	OLP Individual Counseling Offsite only
	OLP Family Counseling
	OLP Family Counseling Offsite only
	OLP Crisis Offsite
	OLP Crisis Triage (by telephone)
	OLP Crisis complex care follow-up to crisis
	OLP Group size: 2
	OLP Group size: 3
	OLP Group size: 4
	OLP One offsite client regardless of group size

Consolidated	
Service	Service Detail
Other Licensed Professional (OLP)	OLP Licensed Evaluation
	OLP Counseling
	OLP Crisis
	OLP Crisis Triage (by telephone)
	OLP Crisis Complex Care
	OLP Group

NOTE: Details can be found at: https://www.health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/proposed_spa.htm

SPA Rate Code Consolidation (2 of 5)

Original	
Service	Service Detail
Crisis Intervention (CI)	CI 1 licensed practitioner
	CI 1 licensed practitioner & 1 peer support
	CI 2 licensed practitioners
	CI 90-180 min & 2 clinicians- 1 licensed
	CI Per Diem 3 hrs, 2 clinicians 1 licensed
Community Psychiatric Support and Treatment (CPST)	CPST Masters Level
	CPST Bachelors Level
	CPST Licensed 3 or less units offsite
	CPST Licensed 4-6 units offsite
	CPST Masters 3 or less units offsite
	CPST Masters 4-6 units offsite
	CPST Bachelors 3 or less units offsite
	CPST Bachelors 4-6 units offsite
	CPST Bachelors 4-6 units offsite
	CPST Group size: 2
	CPTS Group size: 3
	CPST Group size: 4
	CPST One offsite client regardless of group size

Consolidated	
Service	Service Detail
Crisis Intervention (CI)	CI 1 licensed practitioner
	CI 1 licensed practitioner & 1 peer support
	CI 2 licensed practitioners
	CI 90-180 min & 2 clinicians- 1 licensed
	CI Per Diem 3 hrs, 2 clinicians 1 licensed
Community Psychiatric Support and Treatment (CPST)	CPST Service Professional
	CPST Service Professional Group

NOTE: Details can be found at: https://www.health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/proposed_spa.htm



SPA Rate Code Consolidation (3 of 5)

Original	
Service	Service Detail
Psychosocial Rehab (PSR)	PSR Bachelors Level
	PSR All other professionals
	PSR Bachelors Level 3 or less units offsite
	PSR Bachelors Level 4-6 units offsite
	PSR All other personnel 3 or less units offsite
	PSR Allow service personnel 4-6 units offsite
	PSR Group size: 2
	PSR Group size: 3
	PSR Group size: 4
PSR One offsite client regardless of group size	

Consolidated	
Service	Service Detail
Psychosocial Rehab (PSR)	PSR Service Professional
	PSR Service Professional Group

NOTE: Details can be found at: https://www.health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/proposed_spa.htm



SPA Rate Code Consolidation (4 of 5)

Original	
Service	Service Detail
Family Peer Support and Services (FPS)	FPS Bachelors Level
	FPS All other service personnel
	FPS Bachelors 3 or less units offsite
	FPS Bachelors 4-8 units offsite
	FPS All other personnel 3 or less units offsite
	FPS All other personnel 4-8 units offsite
	FPS Group size: 2
	FPS Group size: 3
	FPS Group size: 4
	FPS One offsite client regardless of group size

Consolidated	
Service	Service Detail
Family Peer Support and Services (FPS)	FPS Service Professional
	FPS Service Professional Group

NOTE: Details can be found at: https://www.health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/proposed_spa.htm



SPA Rate Code Consolidation (5 of 5)

Original	
Service	Service Detail
Youth Peer Supports and Services (YPS)	YPS Bachelors Level
	YPS All other professions
	YPS Bachelors 3 or less units offsite
	YPS Bachelors 4-8 units offsite
	YPS All other professions 3 or less units offsite
	YPS All other professions 4-8 units offsite
	YPS Group size: 2
	YPS Group size: 3
	YPS Group size: 4
	YPS One offsite client regardless of group size

Consolidated	
Service	Service Detail
Youth Peer Supports and Services (YPS)	FPS Service Professional
	FPS Service Professional Group
Offsite Codes	Offsite – OLP Evaluation
	Offsite – OLP Counseling
	Offsite – CPST
	Offsite – PSR
	Offsite – FPS/YPS

NOTE: Details can be found at: https://www.health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/proposed_spa.htm

