



Department
of Health

Office of
Mental Health

Office of Alcoholism and
Substance Abuse Services

Office of Children
and Family Services

Office for People With
Developmental Disabilities

Children's Medicaid System Transformation: SPA Rate Review

July 2, 2018

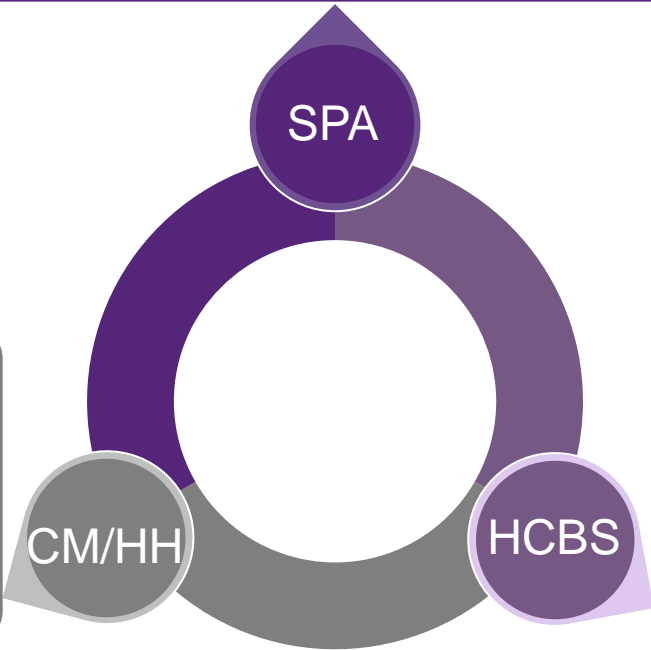
Services Overview

Underlying the Medicaid System Transformation is a revision to the services provided to children

State Plan Amendment (SPA)
In addition to historically provided services, six services are being added or moved to the SPA under the new concurrent waiver:

- Other Licensed Practitioners (OLP)
- Crisis Intervention (CI)
- Community Psychiatric Support and Treatment (CPST)
- Psychosocial Rehabilitation Services (PRS)
- Family Peer Support Services (FPSS)
- Youth Peer Support and Training (YPST)

Care Management (CM) / Health Home (HH)
CM services that have been provided under the historical 1915(c) waivers will transition to Health Homes under the new concurrent waiver



Home and Community Based Services (HCBS)
A single HCBS service array has been adopted under the new concurrent waiver to be utilized uniformly across all covered children's populations

SPA Rate Code Consolidation

Overview – SPA Services

Effective implementation dates assume State receives timely CMS and other required approvals

Implementation

- January 1, 2019: Implementation of Other Licensed Practitioner (OLP), Community Psychiatric Supports and Treatment (CPST), and Psychosocial Rehabilitation (PSR)
- July 1, 2019: Implementation of Family Peer Support Services (FPSS)
- January 1, 2020: Implementation of Crisis Intervention (CI) and Youth Peer Support and Training (YPST)
- Revision of 6 SPA service rates will take place concurrently so there is consistency across the 6 Services and sufficient time for the providers to prepare for their implementation
- SPA Services are authorized under the rehab model, not the clinic model

SPA Rate Code Consolidation

Group Limits

- The consolidation eliminated rate variation for different group sizes in favor of a single group rate for each service
- Revision of group size better aligns with information in the SPA Manual and eliminates previous occurrence of conflicting information
- Group rates are billed on an individual basis
 - For example: an OLP facilitating a group of 3 would bill the OLP Group rate 3 times

Off-Site

- Consolidated 27 into 8 off-site rate codes
- Off-site codes are billed in addition to the base service rate codes for the following services:
 - OLP Evaluation
 - OLP Counseling & OLP Counseling Group
 - CPST & CPST Group
 - PSR & PSR Group
 - FPSS/YPST & FPSS/YPST Group

SPA Rate Review

Rate Revision Considerations

With the consolidation of the SPA rate codes, some revisions to the DRAFT SPA rates were required

1**Baseline**

- Simplify the rate code structure and reimbursement process
- Maintain consistency with previously developed rates, where able

2**Program & Provider Feedback**

Throughout the rate code consolidation and initial rate development process, feedback was received and considered to adjust and further refine initial assumptions from stakeholders

3**Qualification & Rate Setting Assumption Alignment**

Where needed, adjustments were applied to align the qualification selections with rate development assumptions (i.e. education/experience vs. assumed wage level)

4**Additional Adjustments**

Adjustments for factors such as: productivity, upstate vs. downstate differentials, group size efficiency, utilization/acuity differences, be fair to direct care, etc. were reviewed and adjusted, as needed

5**Final Considerations**

Based on final feedback from Program and Providers as well as internal review, final revisions to the DRAFT SPA rates will be completed so they can be submitted to CMS for review and approval.

NOTE: Rates discussed within this presentation are **DRAFT pending CMS approval**

DRAFT SPA Rates (1 of 4)

Summary of preliminary SPA rates presented in May, proposed and revised rates

Service	Service Detail	Rate Unit ¹	Preliminary Rate (5/14/18)	Revised Rate
Other Licensed Practitioner ²	Licensed Evaluation	Per Hour	\$166.32	\$207.13
	Counseling	Per Hour	\$151.20	\$158.57
	Crisis: In-Person	Per Hour	\$277.20	TBD
	Crisis Triage (telephone)	Per Hour	\$166.32	\$174.43
	Crisis Complex Care	Per Hour	\$166.32	\$174.73
	OLP Group	Per Hour	\$73.08	\$76.64

¹ Hourly rates are billable in 15 minute increments

² Service implementation 1/1/2019

- All rates were revised to include recent wage increases (e.g. befair2directcare)
- OLP Evaluation salary assumption was increased, rate unit was adjusted to 15 minute increment based on program direction for billing considerations
- OLP Crisis In-Person rate is currently TBD pending program guidance

DRAFT SPA Rates (2 of 4)

Summary of preliminary SPA rates presented in May, proposed and revised rates

Service	Service Detail	Rate Unit ¹	Preliminary Rate (5/14/18)	Revised Rate
Crisis Intervention²	Licensed Practitioner	Per Hour	\$237.60	TBD
	Licensed Practitioner & Peer Support	Per Hour	\$318.45	TBD
	2 Licensed Practitioners	Per Hour	\$475.20	TBD
	90-180 Min. 2 Clinicians – 1 Licensed	Per Diem	\$716.51	TBD
	3 Hrs., 2 Clinicians – 1 Licensed	Per Diem	\$955.35	TBD

¹ Hourly rates are billable in 15 minute increments

² Service implementation 1/1/2020

- All rates were revised to include recent wage increases (e.g. befair2directcare)
- Crisis Invention rates are currently TBD pending program guidance

DRAFT SPA Rates (3 of 4)

Summary of preliminary SPA rates presented in May, proposed and revised rates

Service	Service Detail	Rate Unit ¹	Preliminary Rate (5/14/18)	Revised Rate
Community Psychiatric Support and Treatment²	CPST Service Professional	Per Hour	\$95.95	\$100.63
	CPST Service Professional Group	Per Hour	\$46.38	\$46.38
Psychosocial Rehabilitation Supports²	PSR Service Professional	Per Hour	\$63.97	\$67.09
	PSR Service Professional Group	Per Hour	\$30.92	\$32.43
Family Peer Support Services³	FPSS Service Professional	Per Hour	\$55.97	\$58.70
	FPSS Service Professional Group	Per Hour	\$27.05	\$28.37
Youth Peer Support and Training⁴	YPST Service Professional	Per Hour	\$55.97	\$58.70
	YPST Service Professional Group	Per Hour	\$27.05	\$28.37

¹ Hourly rates are billable in 15 minute increments

² Service implementation 1/1/2019

³ Service implementation 7/1/2019

⁴ Service implementation 1/1/2020

- All rates were revised to include recent wage increases (e.g. befair2directcare)

DRAFT SPA Rates (4 of 4)

Summary of preliminary SPA rates presented in May and revised rates

Service	Service Detail	Rate Unit ¹	Preliminary Rate (5/14/18)	Revised Rate
Offsite ²	Offsite OLP	Per Hour	\$37.03	\$38.84
	Offsite OLP Group	Per Hour	\$18.27	\$19.16
	Offsite – CPST	Per Hour	\$16.93	\$17.76
	Offsite – CPST Group	Per Hour	\$8.18	\$8.58
	Offsite – PSR	Per Hour	\$11.29	\$11.84
	Offsite – PSR Group	Per Hour	\$5.46	\$5.72
	Offsite – FPSS/YPST	Per Hour	\$9.88	\$10.36
	Offsite – FPSS/YPST Group	Per Hour	\$4.77	\$5.01

¹ Hourly rates are billable in 15 minute increments

² Offsite rate codes will need to be billed in addition to the primary SPA service rate codes when applicable

- All rates were revised to include recent wage increases (e.g. befair2directcare)
- Offsite Group rates were added per provider/program feedback

Rate Application Examples

Illustrative examples for the application of onsite vs. offsite and individual vs. group rates

Individual receiving PSR onsite for 1 hour

Onsite



- Submit one claim for base service cost (\$67.09)

Group receiving PSR onsite for 1 hour



- Submit one claim for each group member for base service cost (\$32.43)

Offsite



- Submit first claim for base service cost (\$67.09)
- Submit second claim for offsite consideration (\$11.84)



- Submit first claim for each group member for base service cost (\$32.43)
- Submit second claim for each group member offsite consideration (\$5.72)

Next Steps

Final SPA rate considerations

- Review final program and provider feedback and considerations
- Revise OLP Crisis In-Person and Crisis Intervention rates based on program guidance
- Determine if additional adjustments to the SPA rates are needed
- Complete internal review of the DRAFT SPA rates
- Submit DRAFT SPA rates to CMS for review and approval
 - Depending on CMS feedback, adjustments to the SPA rates may be required prior to finalizing

Appendix: SPA Matrix & Rate Code Consolidation

SPA Matrix (1 of 2)

Rate Code	SPA Service Group	Long Name	Adjusted Rate Grouping**	Group Size	Unit Limit*	Billable Unit Type	Staffing Qualifications			
							Licensed (MS, PhD, MD)	Masters	Bachelors	Credentialed
7900	OLP	OLP Other Licensed	OLP Licensed Evaluation	N/A	1	per evaluation	X			
7901	OLP	OLP Other Licensed Offsite		N/A	1	per evaluation				
7902	OLP	OLP Individual Counseling	OLP Counseling	N/A	4	per 15 minutes	X			
7903	OLP	OLP Individual Counseling Offsite		N/A	4	per 15 minutes				
7904	OLP	OLP Family Counseling		N/A	4	per 15 minutes				
7905	OLP	OLP Family Counseling Offsite		N/A	4	per 15 minutes				
7906	OLP	OLP Crisis Offsite	OLP Crisis	N/A	8	per 15 minutes	X			
7907	OLP	OLP Crisis Triage (by telephone)	OLP Crisis Triage (telephone)	N/A	2	per 15 minutes	X			
7908	OLP	OLP Crisis complex care followup to crisis	OLP Crisis Complex Care	N/A	4	per 5 minutes	X			
7909	OLP	OLP Group size: 2	OLP Group	2 or more	4	per 15 minutes	X			
7910	OLP	OLP Group size: 3		3	4	per 15 minutes				
7911	OLP	OLP Group size: 4		4	4	per 15 minutes				
7912	OLP	OLP One offsite client regardless of group size		N/A	4	per 15 minutes				
7913	CI	CI 1 licensed practitioner	CI 1 Licensed Practitioner	N/A	6	per 15 minutes	X			
7914	CI	CI 1 licensed practitioner & 1 peer support	CI 1 licensed practitioner & 1 Peer Support	N/A	6	per 15 minutes	X			X
7915	CI	CI 2 licensed practitioners	CI 2 Licensed Practitioners	N/A	6	per 15 minutes	X			
7916	CI	CI 90-180 min & 2 clinicians- 1 licensed	CI 90-180 min & 2 Clinicians- 1 Licensed	N/A	1	per diem	X			X
7917	CI	CI Per Diem 3 hrs, 2 clinicians 1 licensed	CI Per Diem 3 hrs, 2 Clinicians 1 Lic	N/A	1	per diem	X			X
7918	CPST	CPST Licensed Practitioner		N/A	6	per 15 minutes				
7919	CPST	CPST Masters Level	CPST Service Professional	N/A	6	per 15 minutes		X	X	
7920	CPST	CPST Bachelors Level		N/A	6	per 15 minutes				
7921	CPST	CPST Licensed 3 or less units offsite		N/A	3	per 15 minutes				
7922	CPST	CPST Licensed 4-6 units offsite		N/A	6	per 15 minutes				
7923	CPST	CPST Masters 3 or less units offsite		N/A	3	per 15 minutes				
7924	CPST	CPST Masters 4-6 units offsite		N/A	6	per 15 minutes				
7925	CPST	CPST Bachelors 3 or less units offsite		N/A	3	per 15 minutes				
7926	CPST	CPST Bachelors 4-6 units offsite		N/A	6	per 15 minutes				
7927	CPST	CPST Group size: 2	CPST Service Professional Group	2 or more	4	per 15 minutes		X	X	
7928	CPST	CPST Group size: 3		3	4	per 15 minutes				
7929	CPST	CPST Group size: 4		4	4	per 15 minutes				
7930	CPST	CPST One offsite client regardless of group size		N/A	4	per 15 minutes				
7931	PSR	PSR Bachelors Level	PSR Service Professional	N/A	8	per 15 minutes			X	X
7932	PSR	PSR All other professionals		N/A	8	per 15 minutes				
7933	PSR	PSR Bachelors Level 3 or less units offsite		N/A	3	per 15 minutes				
7934	PSR	PSR Bachelors Level 4-6 units offsite		N/A	8	per 15 minutes				
7935	PSR	PSR All other personnel 3 or less units offsite		N/A	3	per 15 minutes				
7936	PSR	PSR Allow service personnel 4-6 units offsite		N/A	8	per 15 minutes				
7937	PSR	PSR Group size: 2	PSR Service Professional Group	2 or more	4	per 15 minutes			X	X
7938	PSR	PSR Group size: 3		3	4	per 15 minutes				
7939	PSR	PSR Group size: 4		4	4	per 15 minutes				
7940	PSR	PSR One offsite client regardless of group size		N/A	4	per 15 minutes				

SPA Matrix (2 of 2)

Rate Code	SPA Service Group	Long Name	Adjusted Rate Grouping**	Group Size	Unit Limit*	Billable Unit Type	Staffing Qualifications			
							Licensed (MS, PhD, MD)	Masters	Bachelors	Credentialed
7941	FPSS	FPS Bachelors Level		N/A	8	per 15 minutes				
7942	FPSS	FPS All other service personnel	FPSS Service Professional	N/A	8	per 15 minutes				X
7943	FPSS	FPS Bachelors 3 or less units offsite		N/A	3	per 15 minutes				
7944	FPSS	FPS Bachelors 4-8 units offsite		N/A	8	per 15 minutes				
7945	FPSS	FPS All other personnel 3 or less units offsite		N/A	3	per 15 minutes				
7946	FPSS	FPS All other personnel 4-8 units offsite		N/A	8	per 15 minutes				
7947	FPSS	FPS Group size: 2	FPSS Service Professional Group	2 or more	6	per 15 minutes				X
7948	FPSS	FPS Group size: 3		3	6	per 15 minutes				
7949	FPSS	FPS Group size: 4		4	6	per 15 minutes				
7950	FPSS	FPS One offsite client regardless of group size		N/A	6	per 15 minutes				
7951	YPST	YPS Bachelors Level		N/A	8	per 15 minutes				
7952	YPST	YPS All other professions	YPST Service Professional	N/A	8	per 15 minutes				X
7953	YPST	YPS Bachelors 3 or less units offsite		N/A	3	per 15 minutes				
7954	YPST	YPS Bachelors 4-8 units offsite		N/A	8	per 15 minutes				
7955	YPST	YPS All other professions 3 or less units offsite		N/A	3	per 15 minutes				
7956	YPST	YPS All other professions 4-8 units offsite		N/A	8	per 15 minutes				
7957	YPST	YPS Group size: 2	YPST Service Professional Group	2 or more	6	per 15 minutes				X
7958	YPST	YPS Group size: 3		3	6	per 15 minutes				
7959	YPST	YPS Group size: 4		4	6	per 15 minutes				
7960	YPST	YPS One offsite client regardless of group size		N/A	6	per 15 minutes				
None	Offsite	None	Offsite - OLP	N/A		per evaluation	X			
None	Offsite	None	Offsite - OLP Counseling Group	N/A		per 15 minutes	X			
None	Offsite	None	Offsite - CPST	N/A		per 15 minutes		X		
None	Offsite	None	Offsite - CPST Group	N/A		per 15 minutes		X		
None	Offsite	None	Offsite - PSR	N/A		per 15 minutes			X	
None	Offsite	None	Offsite - PSR Group	N/A		per 15 minutes			X	
None	Offsite	None	Offsite - FPSS/YPST	N/A		per 15 minutes				X
None	Offsite	None	Offsite - FPSS/YPST Group	N/A		per 15 minutes				X

SPA Rate Code Consolidation (1 of 5)

Original	
Service	Service Detail
Other Licensed Professional (OLP)	OLP Other Licensed
	OLP Other Licensed Offsite only
	OLP Individual Counseling
	OLP Individual Counseling Offsite only
	OLP Family Counseling
	OLP Family Counseling Offsite only
	OLP Crisis Offsite
	OLP Crisis Triage (by telephone)
	OLP Crisis complex care follow-up to crisis
	OLP Group size: 2
	OLP Group size: 3
	OLP Group size: 4
	OLP One offsite client regardless of group size

Consolidated	
Service	Service Detail
Other Licensed Professional (OLP)	OLP Licensed Evaluation
	OLP Counseling
	OLP Crisis: In-Person
	OLP Crisis Triage (by telephone)
	OLP Crisis Complex Care
	OLP Group

NOTE: Details can be found at: https://www.health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/proposed_spa.htm

SPA Rate Code Consolidation (2 of 5)

Original	
Service	Service Detail
Crisis Intervention (CI)	CI 1 licensed practitioner
	CI 1 licensed practitioner & 1 peer support
	CI 2 licensed practitioners
	CI 90-180 min & 2 clinicians- 1 licensed
	CI Per Diem 3 hrs, 2 clinicians 1 licensed
Community Psychiatric Support and Treatment (CPST)	CPST Masters Level
	CPST Bachelors Level
	CPST Licensed 3 or less units offsite
	CPST Licensed 4-6 units offsite
	CPST Masters 3 or less units offsite
	CPST Masters 4-6 units offsite
	CPST Bachelors 3 or less units offsite
	CPST Bachelors 4-6 units offsite
	CPST Bachelors 4-6 units offsite
	CPST Group size: 2
	CPTS Group size: 3
	CPST Group size: 4
	CPST One offsite client regardless of group size

Consolidated	
Service	Service Detail
Crisis Intervention (CI)	CI 1 licensed practitioner
	CI 1 licensed practitioner & 1 peer support
	CI 2 licensed practitioners
	CI 90-180 min & 2 clinicians- 1 licensed
Community Psychiatric Support and Treatment (CPST)	CI Per Diem 3 hrs, 2 clinicians 1 licensed
	CPST Service Professional
	CPST Service Professional Group

NOTE: Details can be found at: https://www.health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/proposed_spa.htm

SPA Rate Code Consolidation (3 of 5)

Original	
Service	Service Detail
Psychosocial Rehab (PSR)	PSR Bachelors Level
	PSR All other professionals
	PSR Bachelors Level 3 or less units offsite
	PSR Bachelors Level 4-6 units offsite
	PSR All other personnel 3 or less units offsite
	PSR Allow service personnel 4-6 units offsite
	PSR Group size: 2
	PSR Group size: 3
	PSR Group size: 4
	PSR One offsite client regardless of group size

Consolidated	
Service	Service Detail
Psychosocial Rehab (PSR)	PSR Service Professional
	PSR Service Professional Group

NOTE: Details can be found at: https://www.health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/proposed_spa.htm

SPA Rate Code Consolidation (4 of 5)

Original	
Service	Service Detail
Family Peer Support and Services (FPSS)	FPSS Bachelors Level
	FPSS All other service personnel
	FPSS Bachelors 3 or less units offsite
	FPSS Bachelors 4-8 units offsite
	FPSS All other personnel 3 or less units offsite
	FPSS All other personnel 4-8 units offsite
	FPSS Group size: 2
	FPSS Group size: 3
	FPSS Group size: 4
	FPSS One offsite client regardless of group size

Consolidated	
Service	Service Detail
Family Peer Support and Services (FPSS)	FPSS Service Professional
	FPSS Service Professional Group

NOTE: Details can be found at: https://www.health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/proposed_spa.htm

SPA Rate Code Consolidation (5 of 5)

Original	
Service	Service Detail
Youth Peer Supports and Training (YPST)	YPST Bachelors Level
	YPST All other professions
	YPST Bachelors 3 or less units offsite
	YPST Bachelors 4-8 units offsite
	YPST All other professions 3 or less units offsite
	YPST All other professions 4-8 units offsite
	YPST Group size: 2
	YPST Group size: 3
	YPST Group size: 4
	YPST One offsite client regardless of group size

Consolidated	
Service	Service Detail
Youth Peer Supports and Training (YPST)	FPSS Service Professional
	FPSS Service Professional Group
Offsite Codes	Offsite – OLP
	Offsite – OLP Group
	Offsite – CPST
	Offsite – CPST Group
	Offsite – PSR
	Offsite – PSR Group
	Offsite – FPSS/YPST
	Offsite – FPSS/YPST Group

NOTE: Details can be found at: https://www.health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/proposed_spa.htm